

**David R. Jones, CEO of CastleOak Securities, Recipient of Boston University
School of Management 2010 Distinguished Alumni Award**

November 8, 2010 – New York, NY – Each year, Boston University School of Management honors alumni who have made significant contributions to their profession, their community, and the School. This year, the School has named CastleOak Securities CEO David R. Jones (BSBA '86) as a recipient of its 2010 Distinguished Alumni Award. Mr. Jones was honored at the Annual Distinguished Alumni Awards Ceremony held at the School of Management on October 29.

Mr. Jones is Co-Founder, President, and CEO of CastleOak Securities, a leading boutique investment bank that provides sales and trading, capital markets, and financial advisory services. The company offers expertise in primary and secondary market transactions, and is structured to advise its clients on mergers, acquisitions, divestitures, valuations and general strategic assessments.

“David Jones is a leader who makes a difference professionally, in his community, and at the School of Management,” said Ken Freeman, Allen Questrom Professor and Dean of Boston University School of Management. “He is a great alumni representative and a strong role model for our current students as we prepare future generations of responsible leaders.”

Mr. Jones has volunteered his time to speak about investment banking at the School of Management’s Feld Career Center, has been a long-time sponsor of the undergraduate Finance Club’s Mentoring Luncheon in New York City, and has hosted students at his office to provide guidance on careers in finance. He is also a member of the School of Management Annual Fund Leadership Giving Society.

Under Mr. Jones’s leadership, CastleOak Securities has been an active supporter of more than 10 charitable and professional organizations, including the We Are Family Foundation, the United Negro College Fund, and Newark School of the Arts, among others.

Before founding CastleOak Securities, Mr. Jones held several high-level positions on Wall Street spanning 18 years, including head of investment banking and capital markets at Blaylock & Company, and, before that, in investment banking and equity capital market areas at Merrill Lynch.

Mr. Jones earned his MBA from The Wharton School at the University of Pennsylvania and a BS in business administration from Boston University School of Management. He holds Series 7, 24, and 63 licenses and serves on the All Stars Project New Jersey Board and the Presidential Advisory Council of Hampton University.

About CastleOak Securities

CastleOak is a New York based boutique investment bank with regional offices in Chicago, Atlanta, and Portland. It is managed by a team of seasoned bankers with an average of over 20 years of experience. CastleOak provides sales and trading, capital markets, and financial advisory services. The company offers expertise in primary and secondary market transactions, and is structured to effectively advise its clients on mergers, acquisitions, divestitures, valuations and general strategic assessments.



CastleOak Securities

CastleOak is in a strategic partnership with Cantor Fitzgerald, L.P., a leading global financial services provider to the institutional equity and fixed income capital markets.

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