

CastleOak Securities Names Thomas Thees Head of Fixed Income

New York, NY February 3, 2016 – CastleOak Securities, L.P., a leading New York-based boutique investment banking firm announced today that it has hired Thomas Thees as Head of Fixed Income. Reporting to David R. Jones, President and Chief Executive Officer, Mr. Thees is responsible for managing and growing CastleOak’s fixed income sales and trading group.

“CastleOak places great priority in consistently delivering superior performance in the trading, execution and financial advisory services we offer to our clients. Having someone with Tom’s in-depth knowledge of the capital markets and strong industry relationships lead the efforts of our fixed income sales and trading team is of great benefit to our company and our clients” said Mr. Jones. “We are confident that he will play an important role in helping CastleOak meet its corporate objectives.”

A well-respected leader in global financial services, Mr. Thees brings to CastleOak more than three decades of expertise in building both traditional and electronic fixed income businesses and operations. He was most recently Chief Executive Officer of Bonds.com, an electronic bond Alternative Trading System (ATS). Prior to that, he served as Head of Investment Grade Credit and Co-Head of Fixed Income for Jefferies & Co. and was Chief Operating Officer of MarketAxess Holdings, Inc. Mr. Thees also held various senior management positions at Morgan Stanley & Co., Goldman Sachs Group, Inc., Citibank NA, and A.G. Becker & Co.

“I am excited to join the highly-regarded, talented and dedicated group of professionals at CastleOak. The company’s core principles of honesty, integrity and performance are very compelling in today’s competitive marketplace and I look forward to partnering with the team to advance CastleOak’s capabilities, growth, and service to clients.”

Mr. Thees was a member of the Board of Directors of the Bond Market Association (now SIFMA), and acted as Chairman of its Investment Grade Committee. He is a founding Board member of HEART 9/11, served on the Georgetown University Board of Regents, and was the Interim CEO and Board Chairman for the Visiting Nurses Association Health Group in NJ and is currently a member of the VNACJ Community Health Center Board of Directors.

He graduated from Georgetown University with an AB degree in International Relations, and was a George F. Baker Scholar.

About CastleOak Securities

CastleOak Securities L.P. is a boutique investment bank focused on the capital markets and serving a broad array of corporate, governmental and institutional investor clients.

Headquartered in New York City, and with six regional offices, CastleOak specializes in financial advisory, equities, fixed income sales and trading, and capital markets. For more information, visit www.castleoaklp.com.

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